



PATENT

Attorney Docket No. 066990.0102

#8  
wmIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Nancy GEORGE

Examiner: A. KINDRED

Serial No. 09/627,232

Group Art Unit 2172

Filed: July 27, 2000

Confirmation No. 2324

For: COMPLIANCE DATA MANAGEMENT  
SYSTEMS AND METHODSNOTICE OF APPEAL**RECEIVED**

DEC 30 2003

Technology Center 2100

Commissioner for Patents

**MAIL STOP AF**

U.S. Patent and Trademark Office

Washington, D.C. 20231

Sir:

Applicant hereby appeals to the Board of Appeals and Interferences from the decision in the Office Action mailed September 24, 2003, and from the Advisory Action mailed December 4, 2003, wherein the Examiner rejects claims 1-19. Applicant is enclosing a check in the amount of \$165.00 covering the requisite small entity fee for filing a Notice of Appeal fee under 37 C.F.R. §1.17(b) (Fee Code 2401). Nevertheless, in the case of any variance between the fees determined by Applicant and the fees determined by the U.S. Patent and Trademark Office, please charge or credit any such variance to the undersigned's Deposit Account No. 02-0375.

CONCLUSION

Applicant respectfully maintains that this application is in condition for allowance, and such disposition is earnestly solicited. If the Examiner believes that an interview with Applicant's representatives, either in person or by telephone, would expedite prosecution of

12/24/2003 MGE BREM1 00000081 09627232

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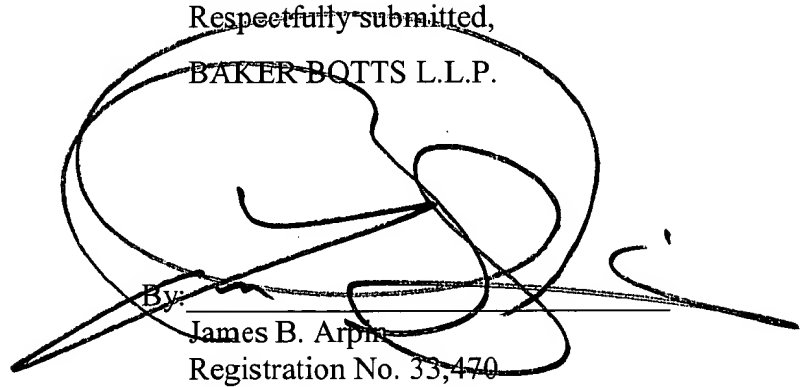
165.00 OP

this application, we would welcome such an opportunity.

Respectfully submitted,

BAKER BOTTS L.L.P.

Dated: December 23, 2003



By: \_\_\_\_\_  
James B. Arpin  
Registration No. 33,470

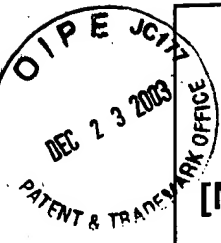
Baker Botts L.L.P.  
The Warner, Suite 1300  
1299 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004-2400  
(202) 639-7700 (telephone)  
(202) 639-7890 (facsimile)

JBA/TJC/dh

Enclosure

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AF/2172  
2725

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Complete If Known

## FEE TRANSMITTAL

## [MAIL STOP PATENT APPLICATION]

Application No.		09/627,232																																							
Filing Date		July 27, 2000																																							
First Named Inventor		Nancy George																																							
Examiner Name		A. Kindred																																							
Group Art Unit		2172																																							
Total Amount Of Payment (\$)		165.00																																							
Attorney Docket No.		066990.0102																																							
<b>METHOD OF PAYMENT (check one)</b>		<b>FEE CALCULATION (continued)</b>																																							
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to <b>Deposit Account No. 02-0375</b> in the name of Baker Botts L.L.P.  <input type="checkbox"/> Charge any additional fee required under 37 C.F.R. §§ 1.16 and 1.17 to <b>Deposit Account No. 02-0375</b> .		<b>3. ADDITIONAL FEES</b> <table border="1"> <thead> <tr> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td><input type="checkbox"/> Surcharge - late filing fee or oath</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Extension for reply with 1 month</td> <td>\$</td> </tr> <tr> <td><input checked="" type="checkbox"/> Notice of Appeal</td> <td>\$ 165.00</td> </tr> <tr> <td><input type="checkbox"/> Filing Brief in Support of Appeal</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Request for Oral Hearing</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Utility Issue Fee (or reissue)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Design Issue Fee</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Plant Issue Fee</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petitions to Commissioner</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (unavoidable)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petition to Revive (unintentional)</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Petitions Related to Provisional Applications</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Submission of Information Disclosure Statement</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Submission After Final Rejection</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Recordation of Assignment Document</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Filing Request for Reexamination</td> <td>\$</td> </tr> <tr> <td><input type="checkbox"/> Other (specify) Advanced Patent Copies</td> <td>\$</td> </tr> </tbody> </table>		Fee Description	Fee Paid	<input type="checkbox"/> Surcharge - late filing fee or oath	\$	<input type="checkbox"/> Surcharge - late provisional filing fee or cover sheet	\$	<input type="checkbox"/> Extension for reply with 1 month	\$	<input checked="" type="checkbox"/> Notice of Appeal	\$ 165.00	<input type="checkbox"/> Filing Brief in Support of Appeal	\$	<input type="checkbox"/> Request for Oral Hearing	\$	<input type="checkbox"/> Utility Issue Fee (or reissue)	\$	<input type="checkbox"/> Design Issue Fee	\$	<input type="checkbox"/> Plant Issue Fee	\$	<input type="checkbox"/> Petitions to Commissioner	\$	<input type="checkbox"/> Petition to Revive (unavoidable)	\$	<input type="checkbox"/> Petition to Revive (unintentional)	\$	<input type="checkbox"/> Petitions Related to Provisional Applications	\$	<input type="checkbox"/> Submission of Information Disclosure Statement	\$	<input type="checkbox"/> Filing Submission After Final Rejection	\$	<input type="checkbox"/> Recordation of Assignment Document	\$	<input type="checkbox"/> Filing Request for Reexamination	\$	<input type="checkbox"/> Other (specify) Advanced Patent Copies	\$
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<b>2. EXTRA CLAIMS FEES</b>																																									
<b>CLAIMS AS AMENDED</b>																																									
For	Number Present	Highest Number Paid For	Extra	Rate		Amount																																			
				Large Entity	Small Entity																																				
TOTAL CLAIMS		20	0	x \$ 18.00	x \$ 9.00	\$0.00																																			
INDEPENDENT CLAIMS		3	0	x \$ 86.00	x \$ 43.00	\$0.00																																			
MULTIPLE DEPENDENT CLAIMS				\$ 290.00	\$ 145.00	\$0.00																																			
<b>TOTAL EXTRA CLAIMS FEES</b>						\$0.00																																			
SUBMITTED BY				Complete (if applicable)																																					
Typed or Printed Name		James B. Arpin		Registration No.		33,470																																			
Signature		Date		12/23/03		Deposit Account User ID																																			
						02-0375																																			